



# **MSc Finance, Investment and Wealth Management**

**Course Specification**

2024-25

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<b>1. Course Overview</b>	
<b>Full course/award title(s)</b>	MSc Finance, Investment and Wealth Management
<b>Course Code</b>	PMSC_0002_FR Route: PMSC_000201
<b>Fees</b>	Please see the tuition fees on the Regent's University London website ( <a href="https://www.regents.ac.uk/admissions/tuition-fees">https://www.regents.ac.uk/admissions/tuition-fees</a> )
<b>Location of study</b>	Regent's Park
<b>Off campus elements / locations</b>	NA
<b>Awarding institution</b>	Regent's University London
<b>Course entry points</b>	September
<b>Date of original validation / revalidation</b>	September 2023
<b>Validated until</b>	September 2028
<b>Framework for Higher Education Qualification level of final award</b>	Level 7
<b>Number of credits in award</b>	180 credits
<b>UCAS codes</b>	NA
<b>HECoS Code</b>	100107 / 100828
<b>Relevant QAA subject benchmark statements</b>	The QAA Business and Management 2019 subject benchmarks statement. Benchmark Statements for Master's Awards in Business and Management

<b>Other external and internal references</b>	<p>QAA Framework for Higher Education Qualifications (FHEQ)</p> <p>Regent's University London Academic Regulations</p> <p>Regent's University London Learning, Teaching and Assessment Strategy</p> <p>Regent's University Learning Outcomes (RLOs) (2021)</p> <p>Regent's Assessment Framework (2022)</p> <p>AdvanceHE Principles of Inclusive Curriculum Design</p> <p>Regent's Learning Design Framework: RADAR (2020)</p>
<b>Professional, statutory or regulatory body recognition/accreditation</b>	Not applicable
<b>Mode of study (Full Time / Part Time)</b>	Full time
<b>Language of study</b>	English
<b>Minimum / Maximum duration of course for each mode of study</b>	<p><b>Part time: N/A</b></p> <p><b>Full time:</b></p> <p>Minimum – 12 months</p> <p>Maximum*- 40 months</p> <p>* In exceptional circumstances only – refer to Regent's University London Academic Regulations for details</p>
<b>Date of production / revision of this course specification</b>	June 2024

## 2. Why study this course, including course aims and objectives

Modern finance is complex, demanding, integrated and dynamic, playing a fundamental role in the edifice of economic growth and global progress. The creation of wealth (investment) creation and its management is a central objective of the subject of finance. The Regent's MSc Finance, Investment and Wealth Management is a rigorous and a vocational course that will equip you with advanced knowledge, expertise and personal skills necessary to embark on a rewarding career in the competitive financial sector with specialism in financial investment and wealth management.

The investment and wealth management course provides you with insight into the financial planning processes required to manage personal finance, credit, investment and other wealth management tasks. Using your analytical skills, you will learn how to make calculated decisions that successfully weigh up the financial benefits with the potential risks. You'll cover principles of investment products, such as capital protection, hedge funds, structured products, and real estate.

Classes are designed to simulate a real-life experience of the financial industry. Regent's provides training in (and personal access to) finance industry databases such as Eikon Refinitiv and DataStream, as well as a five-week professional investment banking bootcamp in collaboration with a leading city based financial investment and technology firm.

This degree will train you as a successful wealth and asset manager, who is able to provide effective financial planning solutions that meet the needs of high-net-worth clients.

You will learn how to explore financial issues and formulate strategies. We will help you to absorb contrasting perspectives and interpret information. We will deliver hands-on training, including the opportunity to use live data from the Thomson Reuters Eikon platform, and other specialist databases and platforms. We will assist you in developing your research skills, which are highly-prized by global employers. This course will particularly focus on the degree of risk associated with investments and, because the return to any investment is directly linked to risk, it should be managed optimally – identified, measured and assessed in line with the goals of the business. A risk management process needs to be effective in identifying, assessing and controlling the various risk exposures, maintaining a symmetry between expected returns and possible negative outcomes. With the complex nature of investment management firms and investment portfolios, sophisticated risk management techniques have been developed to provide analysts with the necessary tools to properly measure and manage various risks. Upon successful completion of this course, you'll be able to answer the following questions: what is an effective process for identifying, measuring, and managing risk? Which risks are worth taking on a regular basis, which are worth taking occasionally, and which should be avoided altogether? How can our success in risk taking be evaluated? What information should be reported to investors and other stakeholders concerning the risk of an enterprise or a portfolio?

The course aims to equip you with skills that are essential to promote critical discussion and deep reflection using economic rationale of risk vs return to estimate financial performance of complex and modern investments offered in a local, national and global context.

In addition to gaining a spirit of intellectual curiosity, research and continual self-development, you will graduate with the skills needed to trade, finance invest and manage financial assets and investments effectively and make successful investment and wealth management decisions. This course enables you to

- develop rational, emotional and social intelligence, allowing you to think strategically in taking key financial decisions by estimating risk and uncertainty, maximising return and utility, and acting ethically
- examine different financial markets, instruments and institutions, various financial valuation methods and analysis, empirical financial analytics and quantitative methods,

investment analysis and active portfolio management in addition to corporate finance and international finance

- explore current financial issues and formulate strategies and learn to contrast perspectives and interpret information
- use live data from the Refinitiv Eikon platform, and other specialist databases
- develop advanced consultancy and research skills relevant for the world of finance – which are highly prized by global employers and venture capital investors.

You'll also explore alternative cross-cultural approaches to finance and consider the influence and impact of emerging financial technologies – developing your ability to innovate and manage change, globally.

Practical, experience-based simulations and challenges with industry experts will expose you to real-world financial issues and give you the strategic skills to combat them.

The course offers a practical, future-facing finance education that is:

- **Adding value and network driven**

The emphasis on working in groups will help to build skills in leading teams, negotiating effectively and solving problems using a multidisciplinary approach that contribute to development for your teams, organisations and environment.

- **Tech engaged**

In order to be able to cope with the 4<sup>th</sup> industrial revolution and the requirements of the global financial world and needs. You will learn by using and adopting the appropriate technology that will include the IT softwares, the professional data bases, the teaching and learning platforms

- **Globally, culturally, and ethically responsive**

The learning journey will be rich in cultural exchanges and diversity, as you will be exposed to collaborative tasks that will make you learn from and work with team and group members that are globally diverse.

- **Wealth creation and risk-minded**

The search for new sources of growth and returns on investments entails increasing risks and uncertainty and demands finance and investment professionals who have mastered the techniques needed to quantify risk and respond to its implications.

- **Strategically acting**

Financial and wealth management sector is characterized by decision making today with the data and information of tomorrow. Therefore it requires forward-thinking managers who can respond to the challenge of developing a business strategy that will enhance an organisation's economic performance, while minimising the environmental and social risks of their operations.

### 3. Course structure

This is a guide to the overall structure of your course, mandatory elements, modules (noting the terms that they are completed), and periods of assessment.

We have designed our curriculum so that you can gain a deeply personalised and practical education. The MSc Finance, Investment and Wealth Management is built on latest academic thinking, informed by in-house research and industry knowledge. You will expand your knowledge, skills, practice and thinking with two building blocks to make you a great financial manager and/or leader:

**Finance and Investment Subject Core:** You will gain deep expertise in the fundamentals of finance and investment and finance through 6 essential modules that constitute the core foundational knowledge and skills for investment and finance specialism. Those modules are aligned with the CFA curriculum which defines essential subject knowledge for the investment and finance specialism. Those 6 modules are shared core finance modules by Regent's MSc Finance and specialism courses. Those include MSc Finance, Investment and Wealth Management, MSc Finance and Financial Technology and MSc Finance and Private Equity.

The Corporate Finance module and Investment and Portfolio Management module provide a firm theoretical foundation underpinning the finance and investment cognate area of knowledge. Financial Statement Analysis and Valuation in addition to International Finance and Economics modules complement the other two modules to provide a real-world financial analyst perspective on the underlying instruments and strategies of investment analysis. Quantitative training will be undertaken on the Quantitative Research Methods for Finance and Financial Econometrics modules which are considered to be a building block for the final project module. All final project topics will be carefully scrutinised with regard to the university's ethics guidelines. The Finance and Investment Subject core constitutes 80 credits from the total 180 credits of the MSc Course.

**Wealth Management Subject Specialism:** This will teach you the professional financial planning processes required to manage personal finance, credit, investment and other wealth management tasks. In particular, it will enable you to understand the investment processes and asset management in private banking. The fundamental principles of investment products such as structured products, capital protection, hedge funds, funds of funds and real estate will be covered extensively, along with the new fintech products. You'll learn to understand high net worth clients' needs, their ethical considerations and their preferences and perceptions of risk and returns – able to offer tailored and applicable solutions in line with tax, ethical and regulatory requirements.

The academic year for the course follows the regular university postgraduate calendar (<https://www.regents.ac.uk/admissions/academic-calendars>):

- Autumn Term: September to December.
- Spring Term: January to May.
- Summer Term: July to September

Classes take place from Monday to Friday, typically between 09:00 and 19:00

Assessments are scheduled throughout the academic year. Submission dates can be found on the Blackboard page of the module and the assessment brief.

## Modules

Your course is composed of a number of modules and each have a credit value. On successfully passing each of these modules, you will gain credits that count towards the total needed for your degree.

One credit equates to 10 notional hours, which is the average time a student will take to achieve the specified learning outcomes. So, if a module is worth 10 credits, then you would expect to spend 100 hours studying this. These will not all be 'taught' hours. You will receive guidance and instructions through workshops, seminars, labs etc., but you will also need to engage in independent self-study. A percentage breakdown of teaching contact hours and self-study required on this course is indicated in Section 6. On a postgraduate degree course, you can usually expect to study 60 credit per term over three terms and no more than 80 credits per term in case you need to trail some credits.

Entry is only possible in September for this the course.

The MSc Finance, Investment and Wealth Management degree requires 180 credits: 80 credits common core (6 modules), 40 credits Wealth Management specialist core modules (2 modules) and 60 credits final project (must be in the specialism area of Wealth Management).

You can only progress to term 3 which is the final project(capstone) stage if you have achieved 100 credits including FIN7F5 Quantitative Research Methods for Finance module.

**This course has one entry point per year and the is the September intake only.**

Autumn Term 1	FIN7F2 Corporate Finance (20 Credits)	FIN7F3 Financial Statements Analysis & Valuation (10 Credits)	FIN7F4 Investments and Portfolio Management (20 Credits)	FIN7F5 Quantitative Research Methods for Finance (10 Credits)	60 Credits
SpringTe rm 2	FIN7F6 Financial Econometrics (10 Credits)	FIN7F7 International Finance & Economics (10 Credits)	FIN7E9 Wealth Management (20 Credits)	FIN7F1 Financial Risk Management (20 Credits)	60 Credits
Summer Term 3	FIN7E2 Final Project (60 Credits)				60 Credits



<b>Course modules - Level 7</b>		
<b>Semester</b>	<b>Common Core Modules</b>	<b>Credits</b>
Autumn	FIN7F2, Corporate Finance	20
Autumn	FIN7F3, Financial Statements Analysis and Valuation	10
Autumn	FIN7F4, Investments and Portfolio Management	20
Autumn/Spring	FIN7F5, Quantitative Research Methods for Finance	10
Autumn/Spring	FIN7F6, Financial Econometrics	10
Spring	FIN7F7, International Finance and Economics	10
Spring/Summer	FIN7E2, Final Project	60
<b>Total common module credits</b>		<b>140</b>
<b>Semester</b>	<b>Specialist Core Modules</b>	<b>Credits</b>
Spring	FIN7E9 Wealth Management	20
Spring	FIN7F1 Financial Risk Management	20
<b>Total core module credits</b>		<b>40</b>
<b>Total Credits for Level 7</b>		<b>180</b>
Exit awards and learning outcomes achieved (if appropriate)		
To obtain the MSc Finance, Investment and Wealth Management you will be required to achieve 180 credits.		

#### 4. Exit awards

For PG refer to the Academic Regulations. <https://www.regents.ac.uk/policies>

If you achieve 120 credits, you may request the award of a Postgraduate Diploma (PGDip).

If you achieve 60 credits, you may request the award of a Postgraduate Certificate (PGCert).

#### 5. Learning outcomes

This is a guide to the more specific knowledge and skills you will gain if you take full advantage of the learning opportunities available to you.

Learning outcomes will tell you what we expect you to know and/or be able to do once you have completed a learning process (i.e. a module, a level or the entire course) (QAA, 2018). Teaching and assessments are designed accordingly to enable you to demonstrate that you have adequately met these outcomes.

The learning outcomes that you will be expected to demonstrate at the completion of a Regent's University London level 7 course are listed below. Each taught module that you will study, has developed 2-4 distinctive Module Learning Outcomes (MLOs). Each MLO is mapped against an equivalent Regent's Learning Outcome from the list below. We have ensured that at the end of your course, all the compulsory elements of your course, assess in their totality all ten learning outcomes (see section 16).

The Regent's Learning Outcomes are distinctive and unique to Regent's University London and have been validated with the new 2022 curriculum model. All Regent's courses must use the RLOs and the Module Learning Outcomes must be mapped against the RLOs.

#### LEVEL 7 OUTCOMES

<b>RLO1 Collaboration</b>	Lead and negotiate professional networks to solve challenges in complex and ambiguous situations.
<b>RLO2 Innovation</b>	Create and implement new value propositions combining intellectual curiosity and creativity.
<b>RLO3 Professional Development</b>	Articulate a plan which encompasses opportunities for your continuing professional development.
<b>RLO4 Decision-making</b>	Formulate informed decisions in complex situations using critical and reflexive thinking.
<b>RLO5 Communication</b>	Communicate persuasively both orally and in writing in multicultural and/or international settings.
<b>RLO6 Digital Data and Tools</b>	Appraise and utilise digital tools and complex data in your professional and social contexts.

<b>RLO 7 Discipline Knowledge</b>	Critique and synthesise theories, concepts and facts at the forefront of your field of study relevant to the task.
<b>RLO8 Discipline Skills</b>	Combine and employ advanced discipline-specific knowledge, techniques and tools for practical purposes.
<b>RLO 9 Interdisciplinary Perspectives</b>	Integrate different disciplinary approaches in proposing insights into multifaceted, complex scenarios.
<b>RLO10 Human and Environmental Impact</b>	Evaluate the impact of human activity, including your own, on people and on the environment to offer sustainable solutions.

### **6. Learning and teaching strategy/ assessment methods (non-regulatory)**

This is a guide to the academic opportunities available to help you achieve the overall aims and objectives of the course and the intended learning outcomes in Section 5. It is also a guide to the assessment methods used to test your achievement of the intended learning outcomes. In order to meet the full intended learning outcomes of the course, you will be expected to study independently, participate in class discussions, ask for support in academic skills if required and engage with your personal tutors.

The Regent's Learning, Teaching and Assessment Strategy (LTAS) outlines the vision of Regent's pedagogic and assessment approach. It strives to provide you with a well-connected, future facing, entrepreneurial, cosmopolitan and personalised education whereby you can achieve your full potential. It comprises of 14 priority areas

1. take a personalised approach to your learning: finding out about your previous learning and experience, offering individual guidance to help you plan your journey through university and beyond.
2. involve you in decisions about your learning, by giving you access to a dashboard of data on your progress, explaining our teaching methods and giving you increasing autonomy to create your own educational journey.
3. enhance your research, thinking and communication skills through the specialist subjects, in a culturally diverse, global context.
4. ensure that you have the knowledge and skills to bring more than one perspective to any challenge, both through your specialist studies and through specially designed, team-taught activities to prepare you for future impact in your chosen personal mission.
5. embrace a teaching approach where you collaborate with each other and with the tutors, and where diverse learning needs can be met
6. provide learning activities which are active, often based on finding responses to real-world challenges; these activities will cross subject boundaries, and challenge orthodoxies by providing you with opportunities to explore alternative ways of thinking; they will allow you to take risks and have fun.
7. make use of flexible learning and novel on-campus space configurations to promote experimentation and discovery; and we will take learning outside the classroom, with London as an unparalleled resource.

8. provide you with tutors who are expert researchers or practitioners in their fields, who will facilitate learning, and who are engaged in continuous professional development both as teachers and in their specialist fields.
9. embrace the potential of digital technologies in supporting learning
10. enhance your global network and intercultural communication skills, through engagement with our international partnerships and offering tuition in many different languages
11. base assessments on real-world tasks, projects and challenges, relevant to the future
12. help you develop the capacity to evaluate your own work and learning, through in class activities (in self-assessment, student-to-student assessment and academic integrity)
13. provide feedback from different sources on your work, recognising what you do well and providing guidance to help learning and improve your work
14. offer you an achievement portfolio where all your feedback is collated in one place, helping you make sense of the feedback, and giving you something you can take with you when you leave Regent's to build evidence you can use for future employers

The MSc Finance, Investment and Wealth Management course is designed to equip you with necessary knowledge and skills to embark on a career in finance and investment sectors. Furthermore, this programme can provide a good foundation for an entrepreneurship project for two reasons. Firstly, the programme across the common core modules focuses on the concepts of profit and loss, risk management and investment decision making, these concepts are essential for entrepreneurs.

Moreover, if you wish to engage in starting a new business venture will have the opportunity to be supported and mentored by the University Careers and Business Relations department. To support entrepreneurship alongside the degree, an annual event, Enterprise week would be run. This includes 5 days of masterclasses equipping prospective entrepreneurs with a full suite of enterprise skills and knowledge. Alongside this annual event, twice per academic year, "Start your own Business" workshops are available which enable you to supplement their entrepreneurial skill set, with the fundamental new business skills required to get a business off the ground, endure and survive year one. Finally, as part of the University offer, 60-minute entrepreneurial appointments are available via 3 business advisers for ongoing support and coaching. This includes advice around the 2-year Start-up entrepreneurial visa.

The programme can also serve a good platform for you if you're aiming for further studies at doctorate level.

Your course therefore actively encourages you to connect all areas of your learning and build your customised, coherent high-impact learning narrative in the pursue of a personal and professional mission.

The guidelines on learning, teaching and assessment provided in the Regent's University London Academic Regulations for postgraduate Master's level include the following:

- 1,800 notional teaching hours.
- Requirement to pass any pre-requisite before the final project (dissertation or business project).

- Option to trail up to 20 credits before commencing the final project.
- Internal moderation of work carrying over 20% of the module assessment.
- Provision to students of all assessment points and arrangements.

Underpinning all of this is the Regent's University London purpose and strategic direction to develop tomorrow's global leaders, by providing a high-quality teaching environment to develop graduate attributes listed below.

The Regent's Graduate Attributes express our educational purpose and identity which is to ensure that Regent's graduates are:

- Collaborative and networked
- Creative and entrepreneurial
- Critical and Reflective
- Culturally and socially versatile
- Digitally agile
- Well-informed, skilled and fluent across disciplines
- Responsible and environmentally conscious

To realise this purpose, we have articulated these Attributes into a set of ten observable and measurable university wide learning outcomes, The Regent's Learning Outcomes (RLOs). They were designed using national references to ensure that they are appropriated for the award of a UK degree and that your achievement is monitored against those national references. Teaching and assessments are designed accordingly to enable you to demonstrate that you have adequately met these outcomes.

We centre our teaching around your individual goals – identifying the skills and support your need to thrive. Interactive classes ensure you will have a high level of contact with tutors, enabling you to develop your individual goals and interests. We use active and collaborative learning effectively and creatively, meaning you'll always learn by doing – from taking part in tech labs and creative workshops to engaging with industry experts. It is an experiential and experimental approach that presents you with real-life and real-world challenges, facilitating teamwork, entrepreneurship and use of technology to learn about compelling issues, propose solutions to real problems and take actions. We also offer authentic and meaningful industry engagement, with opportunities to meet employers through guest lectures, live briefs, field trips and industry visits – giving you insights into real-world issues and enabling you to put theory into practice. We offer a variety of practical teaching methods to direct your learning, support your understanding and drive engagement. They include:

- Immersive challenges
- Creative workshops
- Industry speaker events
- Business simulations
- Creative masterclasses
- Seminars & tutorials

- Group research tasks
- Case preparation
- Technology supported work (chat/e-portfolio, etc)
- Student driven/negotiated sessions
- Accompanied/independent visits to venues/experience centres

You are expected to study independently alongside your scheduled learning and teaching activities. This includes preparation for scheduled teaching, wider reading, revision, research and completion of assessments. You will need critical thinking, creative thinking, collaboration, and communication skills to thrive in an internationally competitive environment. In line with the Regents Graduate attributes and the Regents Learning Outcome, the course and the modules will focus on the following 4 Cs:

### **1.Critical thinking**

You will be expected to fully demonstrate critical thinking during your learning journey. You must engage in learning experiences that require depth and complexity of thinking as you should analyse, infer, compare, reason, interpret, synthesise, and evaluate. You need to question data, consider various perspectives of issues, determine patterns of information, form judgments, and present individual points of view with evidence and logical reasoning.

### **2.Creativity**

Regent's graduates are entrepreneurial, worldly and business savvy. Creativity includes innovation, original ideas, and risk-taking. You will need to demonstrate creativity in many forms and through multiple learning style preferences. When you examine and evaluate ideas from different perspectives, think in new directions and synthesise information in useful ways, you will demonstrate your creative thinking abilities. Planning instruction that purposefully encourages you to apply creative thinking and problem skills is essential.

### **3.Collaboration**

Diversity is one of the key values of Regent's, moreover in today's world, individuals from diverse backgrounds and different abilities work together to study and bring resolution to ongoing issues or problems. Thus, you must learn how to collaborate with others, respecting differences in knowledge, culture and viewpoints. Multiple and varied learning opportunities will be designed that lead you to value individual contributions. You can work with partners or in small groups to investigate and collaborate about market conditions, investment opportunities or company valuation as examples.

### **4.Communication**

Information in the market economy and financial markets is the key driver for prices. Information efficacy relies on effective communication in practice. Therefore, you will demonstrate effective communication skills by clearly expressing your thoughts to various audiences with the purpose to engage, persuade or inform them.

A range of curriculum delivery methods are used on the postgraduate suite of programmes. These include lectures, seminars, workshops, case studies, external guest speakers,

examinations, presentations, written assignments, portfolio building, group work, simulations, peer assessments and labs. Emphasis is placed on self-directed personal development through the module curricula and assessments.

On a full-time basis, you normally complete one of the postgraduate programmes over three semesters and, depending on the point of entry (September or January), it can take between 12 months to complete (only September starters on this course)

All taught 10 and 20 credit modules require 3 and 4 contact hours respectively per week over the 12 taught weeks of Semester 1 and 2. Semester 3 is dedicated to the capstone activity.

Direct taught hours represent approximately 16% and self-directed learning 84% of the programme.

### **Breakdown of teaching methods by percentage**

The following breakdown is a guide to how much time you will spend on each teaching and learning method. The exact amount of time spent in taught/practical lessons or self-study will depend on the elective modules that you take.

- Taught learning typically refers to timetabled sessions (such as workshops, seminars, masterclasses, lectures and tutorials) led by a member of academic staff.
- Practical sessions are also timetabled and led by an academic member of staff, but are focused on practical work such as simulation and laboratory work, role playing, etc
- Self-Study is time dedicated to self-directed learning that is guided by your own requirements to further your studies and complete assignments. This could include preparation for class, background reading, research and preparation for assessments, and writing.

<b>Level 7</b>	
Taught	20%
Practical	10%
Self-Study	70%

### **Teaching and Learning Methods**

The MSc Finance, Investment and Wealth Management course will enable you to gain a breadth of critical understanding, analysis, interpretation, synthesis and evaluation of aspects of finance. You will be introduced to a range of contrasting theoretical perspectives, research models and output. A range of teaching and learning methods will be utilised to provide underpinning support for the above aim. They will include lectures, including those by external specialists, seminars, structured workshops, case study analysis, group work, individual and group tutorials and self-directed study.

#### **Lectures**

Lectures will be the most common method of introducing material. Lectures will be supplemented by hand-outs designed to facilitate student directed and independent reading. At the start of each semester, you will be provided with a Module Syllabus which will set out the learning outcomes to be achieved, the areas to be studied and the nature of the assessment for the module.

#### **Seminars**

You will be expected to lead seminar discussions around each topic, supported by tutor facilitation. We will also use mini presentations by a number of students.



### **Tutorials and Exercise Sessions**

You will be required to do preparatory written work in order to get opportunities for feedback and discussion. Each module tutor will provide individual and group support for the students undertaking their module. To aid your learning, they will arrange individual and/or small group tutorials as and when required.

### **Working in Groups**

Throughout your business and professional life, you will find that you will be asked to work in teams or groups. Working in groups can allow you “to develop a range of interactive and collaborative skills”. Graduates need to be able to:

- work in teams;
- listen to others’ ideas sympathetically and critically;
- think creatively and originally;
- build on others’ existing work;
- collaborate on projects;
- manage time and processes effectively;
- see projects through to a conclusion; and,
- cope with the difficulties of interaction.” (Race & Brown, 1998, p. 79)

In principle, the approved procedure for group allocation is that the module tutor takes the student list, divides the students into approximately equal groups of a size determined by the lecturer, and publishes the group allocation in the module online learning environment area.

### **Structured Workshops**

These are used when appropriate to enable you to develop the key transferable skills necessary for both employment and academic development. They encourage you to:

- a) exercise initiative and personal responsibility.
- b) apply decision-making techniques in complex and unpredictable situations and,
- c) focus on issues of ethics, professionalism and continual professional development.

### **External Specialists**

To ensure that the course content reflects contemporary issues and enables you to experience a broader understanding of critical concepts, modules are augmented by guest academics and/or practitioners who will contribute to learning development by way of:

- a) simulated real-time trading positions over a period of five weeks trading bootcamp. this will be supported by a city based financial trading company.
- b) training on financial specialist databases and econometrics softwares
- c) lectures by guest speakers- workshops hosted within the context of the module
- d) complex case-study material
- e) facilitation in structured workshops
- f) resources to consult within student seminar or group-work
- g) visiting Professorship Lecture Series outside the context of the module

You are expected to attend these events.

### **Final Project Supervision**

When undertaking the final project, you will be assigned a supervisor appropriate to your research interest and/or elective specialisation. You and your supervisor will on average meet up to 8 hours for consultation during the supervision process, where the aim is for the supervisor to guide you through this independent research process.

### **Course management and teaching staff**

The course is managed by a Course Leader who is responsible for the delivery, management and operation of the course on a day-to-day basis, under the academic leadership of a Director of Content. Staff are, in general, educated to master's or doctoral level and have relevant industry experience. In addition, most of our staff hold a Fellowship of Advance Higher Education (Advance HE) from Fellow to Principal Fellow. We also encourage our teaching staff to undertake the Postgraduate Certificate in Higher Education.

You will be allocated a personal tutor, who will meet you on a one-to-one basis at various stages throughout the academic year and give you guidance and advice to support your ongoing personal and professional development throughout your studies at Regent's University London.

### **Assessment strategy and methods**

Assessment is a positive element of your education. We are committed to delivering authentic assessment and challenge-led learning. This ambition is reflected in our new **Assessment Framework** ([link](#)) where we outline the practices and expectations which will guide and enhance assessment. One of those practices being to move away from unseen written examinations as an assessment methodology and moving towards more authentic challenges. We are in the business of nurturing future leaders who can use what they have learned to shape the world – authentic assessment and real-world challenges create a safe lab for that learning.

Assessment can be a learning tool and a guide to **understand and evaluate** the quality of your work and your progress. Your course will offer a variety of assessment methods that support the learning required by the learning outcomes. We will provide you with feedback on your performance in assessments to guide your learning journey. You will play an essential part in our assessment strategy as an active feedback recipient and we will work together to determine how you can progress further from the feedback received.

There are some differences in the purposes of the assessment you will encounter: some assessments are formative and others are summative.

Formative assessments will provide you with an opportunity to receive feedback on the progress of your work through the completion of an assessment or assessment tasks. These are developmental in nature as they offer information to help you identify next steps in learning and how to do better in future work which is why no grades are given. Formative assessments

feed directly into summative assessments. All assessment tasks include formative assessment opportunities.

The table below lists possible ways your tutors will facilitate the delivery of feedback on your formative assessments:

<b>Forms of Assessment</b>	<b>Ways to deliver feedback on formative assessments</b>
Written Assessments (various types)	Feedback on draft submitted on blackboard by a specified date
	Feedback initiated through peer to peer reviews during workshop activity
	Verbal feedback (face to face or recorded), where student makes a record of key points and shares with the tutor
Presentation	Presenting a practice run in class, receiving feedback from peers and/or tutor
	Formative feedback on recordings of presentation practice submitted on Blackboard by a specified date.
	Feedback on students' own assessment on the recorded practice presentation (both submitted on Blackboard by a specified date)
Challenge-based project	Feedback from tutors/peers through an exhibiting event. Students make a record of key points and shares with the tutor
	Feedback on student's own assessment of their work
	Verbal feedback (face to face or recorded), where student makes a record of key points and shares with the tutor

Summative assessment is the evaluation of your learning at a particular time, measured against specified learning outcomes. You will be awarded a grade and numerical mark for each summative assessment on each module. The grades you receive for assessed work on each module are added up to give a final grade for the module. Assessment also determines your suitability to progress onto the next term of your course and, at the end of the course, it enables the University to determine your degree award.

All assessments will incorporate effective and constructive feedback that relates to the module-specific or task-specific marking criteria previously made available in the assessment briefs. Feedback for summative assessment will explain the reason for the mark given but it can also provide information about how to improve the work for future assessment tasks. You will receive feedback in orderly and timely fashion (approximately 3 weeks from submission date). We will notify you of the feedback release day in your assessment briefs.

The course will be assessed via authentic assessment methods where you will have a specific task to do or a role to play. The assessment methods will include both group and individual assessments. Group work should not exceed 50% of the total mark of the module. There will be a maximum of 2 summative assessments for 20 and 1 summative assessment 10 credit modules. The programme assessment strategy invites lecturers to develop inspiring and innovative assessments that provide the students with a stimulating learning environment,

foster critical thinking, develop confidence and give the learners insights into their own development and growth.

The assessment will include group and individual real projects and case studies that will enable you to be assessed on your ability to create, innovate and understand current market and industry conditions using live and current data and information.

Module assessments will also include group and individual coursework, presentations, and trading simulation reflective practice portfolio. You will also be expected to deliver task based projects where you will have to demonstrate an ability to research, manipulate real data, conduct specific tests and apply fundamentals by using the relevant technology.

List of Assessments:

- Presentations
- Video Vlog
- Portfolio
- Task Based Assignment
- Task Based Challenge Project
- Reports (Investments, Valuation, Markets)
- Essays

If you are on a support agreement (SSA) you may be offered alternative assessments in accordance to the Guidelines of the University's Disability Guidelines for Alternative Forms of Assessment.

### **Ethical approval of research**

All research and other academic activities conducted within and outside the University by students at Regent's University London should comply with Regent's ethics policies and process prior to its commencement. This will include, but is not limited to, all academic work involving human or other living participants, all industry live projects and all experiments, investigations and procedures involving human or other living participants, or data relating to such living entities.

## **7. Relationship to other courses**

Some courses share modules or have other connections to other courses. This is a guide to whether this is applicable for your course.

The course common core modules and the final project module, as listed below, are shared with other MSc Finance and specialism courses. Those include MSc Finance and Financial Technology, MSc Finance and Real Estate Investment and MSc Finance and Private Equity.

FIN7F2, Corporate Finance

FIN7F3, Financial Statements Analysis and Valuation

FIN7F4, Investments and Portfolio Management  
FIN7F5, Quantitative Research Methods for Finance  
FIN7F6, Financial Econometrics  
FIN7F7, International Finance and Economics  
FIN7E2, Final Project

The FIN7F2, Corporate Finance is also shared with MA Management and Finance course that is a more generalist finance and management course.

## 8. Student support

We want you to make the most of your time at Regent's and we also know that sometimes life at university can be hard. We can give you the support you need, on academic study, language skills, careers, disabilities, mental health, gender, sexuality, finance and many other issues.

Regent's Student Hub will be the first port of call for the majority of your needs. Staff in the Hub can either deal with your enquiry directly or point you to one of our services for more help.

Our support services include:

- Personal tutor scheme
- Student Services
- Academic Skills
- English for Academic Purposes
- Careers advice, including placements
- Disability support
- Accommodation
- Student Union

For more information about life at Regent's and the services we offer, visit:

<https://www.regents.ac.uk/student-life>

## 9. Learning support

Regent's offers a variety of different facilities and technologies to support your studies. These include seminar rooms, lecture theatres, collaborative workspaces, the library, IT labs and specialist software.

The MSc Finance, Investment and Wealth Management course enjoys:

- dedicated Financial Trading Suite with 20 stations and three major screens.
- all students have a personal licence to access Financial Database.
- all technical teaching is delivered in specialism IT Labs equipped with the relevant software

If you require support or advice with regards to accessing campus facilities, please speak with our disability support officer, so we may address your concerns.

[regents.ac.uk/information/student-life/for-current-students/disability-information](https://www.regents.ac.uk/information/student-life/for-current-students/disability-information)

The Library at Regent's supports teaching and learning at the University. Our relevant and extensive collections, flexible study spaces and knowledgeable staff can help you achieve your academic goals. During term time, the Library is open until late in the evenings. During busy exam periods, it is open 24/7. Most areas are fully accessible to people with disabilities and wherever there are challenges we work with individual(s) to overcome, rearrange or support to facilitate good and safe access.

Regent's uses Blackboard as its virtual learning environment (VLE), where you will find learning materials and content for your modules. You will also submit your coursework online and receive feedback through Blackboard.

To support your studies and time at Regent's, there is a free mobile app which can be downloaded from the Apple or Android stores, which will provide information about the University, the campus and your studies.

Regent's has IT labs where you can use our computers and there are dedicated areas for you to use your own laptops and tablets. The campus has free Wi-Fi, including most areas of our extensive gardens, so any area can be adapted into a student study space. Regent's is a member of the Eduroam network, so you can connect to this service to access Wi-Fi worldwide.

For more about Regent's learning resources, visit:

<https://www.regents.ac.uk/life-at-regents/learning-resources>

## 10. Opportunities for personal development planning

Include any PDP built into the course. Indicate how a student can make choices within their course to tailor it to their own needs/career choices, e.g. through elective modules, final project topics, language choices, work placements. This section may also include career planning options, personal tutor course and extra-curricular activities.

### Extracurricular activities

You will also have the opportunity to engage with extracurricular activities on and off campus organised by staff from across Regent's. The course, as part of the Regent's finance suite of courses, offers two major bootcamps with city based leading company. One in Autumn over 3 weeks and consist of 12 hours of Coding and Quants for Finance BootCamp and one in Spring over 5 weeks and consists of 20 hours of Trading and Investment Banking BootCamp. These include but are not limited to: prominent guest speakers' presentations, conferences, competitions, university societies, Student Union membership and student representation. Participation in competitions or engagement with societies and Student Union are a great way

to develop a host of skills and evidence demonstrating your diverse capabilities and can contribute to fruitful personal development planning.

### **Careers, Enterprise and Industry**

The Careers, Enterprise and Industry team supports students' individual career aspirations through a personalised approach. We partner with you to help you to acquire attributes, tools and skills to be able to make informed decisions and manage their entire career.

### **Handshake – jobs, employers, events and resources**

We've partnered with Handshake (our careers platform) to offer you:

- careers and enterprise resources – giving you all the information you need to succeed.
- local and international jobs, internships, and placement opportunities.
- networking opportunities with companies of all sizes, as well as start-ups.
- careers and enterprise events and workshops.
- the chance to create and build your own profile to personalise the type of content you see, the employers you engage with and the types of job opportunities highlighted to you.

### **Placements and internships**

If you are looking to undertake a placement or internship as part of your studies, you can receive support in developing your application, as well as advice and guidance when sourcing suitable placement and internship opportunities. We also offer similar support if you wish to enhance your learning by undertaking an internship during the summer period.

### **Careers appointments and drop-in sessions**

You can book an appointment with a career consultant to discuss anything career-related or book some career coaching sessions as a student and/or after you graduate. You are encouraged to engage with the careers team as early as possible in your study. It can take time to gather an array of career management skills, and to be empowered to explore options and plan your next steps.

Careers appointments are booked on Handshake and are either virtual on Handshake or in-person. Appointment types include, but are not limited to, career conversations, entrepreneurship and enterprise, career coaching, placements and Internships, applications feedback, and interview preparation.

The Careers, Enterprise and Industry team has an open-door policy. You can drop in anytime during business hours to ask a quick question about anything careers, enterprise, or industry related.

### **Enterprise and entrepreneurship**

Regent's is excited to provide a comprehensive, entrepreneurial offer for students and graduates. This flagship initiative is known as **The Founder's Programme** – a hands-on programme to support you and graduates interested in starting their own enterprise, with tailored guidance, access to live sessions with in-house consultants and guest speakers, mentoring, coaching and experiential bootcamps.

The programme is a dynamic, immersive learning experience where you will build the foundations of your start-up with a global team and get a chance to pitch to investors at the end of the programme – allowing you to network and connect with peers, alumni, industry leaders and start-up founders.

### **Co-working spaces**

Regent's also offers a dedicated co-working space available on campus with an opportunity to work on your business idea while collaborating and sharing ideas with peers. We also have a strong network of partnerships with some of the most noted co-working spaces in the country.

## **11. Admissions Information**

Details of the current entry requirements can be found in the University's Admissions Policy on our website: <https://www.regents.ac.uk/policies>

For more information, see the How to Apply tab under each course on the website.

## **12. Visas and immigration**

At Regent's, you will be part of a truly international community, with the opportunity to make friends and contacts from around the world.

If you require a visa to study in the UK, please visit the Visas and Immigration page on the website:

<https://www.regents.ac.uk/admissions/visas-immigration>

## **13. Assessment and progression regulations**

You will be assessed on how well you are achieving the intended learning outcomes at different stages throughout your time of study at Regent's. In order to continue with your studies, there are minimum requirements to be met in order to progress to the next level of your course. The current progression regulations are published within the Academic Regulations on our website at the link below. These are subject to review and amendment each year. We will let you know if there are any major changes to the regulations that may affect you.

<https://www.regents.ac.uk/policies>



You will be provided with guidelines on what you need to achieve in each assessment to ensure you receive a good mark. A generic description of each grade is available at:

[regents.ac.uk/about/regents-teaching-exchange/learning-teaching/assessment-framework](https://www.regents.ac.uk/about/regents-teaching-exchange/learning-teaching/assessment-framework)

The progression point at the masters course is at the end of the second term. You can only progress to term 3, which is the final project stage, if you have achieved 100 credits out of the 120 credits including FIN7F5 Quantitative Research Methods for Finance module.

#### **14. Award criteria**

To complete your course, you will need to achieve 180 credits for a postgraduate Masters degree.

You must also meet the requirements of any specific regulations as stated under the Assessment and progression regulations section.

For further details on award requirements, please see the Academic Regulations, available at on our website at the link below. The regulations are subject to review and amendment each year. We will let you know if there are any major changes to the regulations that may affect you.

<https://www.regents.ac.uk/policies>

#### **15. Methods for evaluating and improving the quality and standards of teaching and learning**

All quality assurance policies and procedures are found in the University Academic Regulations. The current versions are available at the link below. The regulations are subject to review and amendment each year. We will let you know if there are any major changes to the regulations that may affect you.

<https://www.regents.ac.uk/policies>

Regent's University London operates a number of institutional processes for ensuring and enhancing its academic quality standards. These include: course (re)validations, course modifications, course monitoring, student feedback systems and external examining.

##### **Course (re)validations**

The University has a formal process to approve a new course or reapprove an existing course of study. This involves a panel of academics from within and outside Regent's to ensure that your course is of appropriate academic standard and of a high quality.

##### **Course modifications**

We listen to your feedback and make changes to your course as appropriate. You will be consulted on any significant changes to your course which may affect the outcomes of your

study. All changes to courses or modules are subject to approval through Regent’s academic governance structures.

### Course monitoring

In order to ensure that courses continue to meet their academic and professional aims and objectives, Directors of Content/Course Leaders are required to prepare an annual monitoring report (AMR). The reports include module feedback as well as external examiner reports and responses. They are reviewed at faculty and institutional level.

### Student feedback systems

Students play a key role in the University’s processes for enhancing the quality of its educational provision and broader student experience. There are multiple ways for you to provide feedback on your experiences at module level (e.g. through questionnaires), course level, through student representatives and at course committees.

### External examiner reports

External examiners ensure that Regent’s standards and quality processes are appropriate and are of a standard comparable to those of other higher education institutions. There are two types of external examiners. One reviews subject level assessment and the other reviews institutional level processes and attends the progression and finalist boards.

An annual external examiner report is produced at the end of every academic year at levels 5, 6 and 7. These are made available to students through the AMRs.

## 16. Curriculum map

This table indicates which study units assume responsibility for delivering the learning outcomes detailed in Section 5.

	Module	LO1	LO2	LO3	LO4	LO5	LO6	LO7	LO8	LO9	LO10
Level 7	FIN7F2 Corporate Finance	x		x			x	x			
	FIN7F3 Financial Statements Analysis and Valuation			x			x		x		
	FIN7F4 Investments and Portfolio Management	x			x				x	x	
	FIN7F5 Quantitative Research Methods for Finance				x	x			x		
	FIN7F6 Financial Econometrics				x	x			x		

	FIN7F7 International Finance and Economics							x		x	
	FIN7E9 Wealth Management		x	x				x			x
	FIN7F1 Financial Risk Management			x			x		x		x
	FIN7E2 Final Project		x	x		x	x	x			x